

Southey Capital

Southey Capital Ltd
Ensign House, Admirals Way
London, E14 9XQ
www.southeycapital.com

Introduction and Key Details

To whom it may concern,

Southey Capital Ltd is a London, UK based Financial Services firm that is Authorised and Regulated by the Financial Conduct Authority.

The Company was founded in 2015 by Robert Southey, who built his career at firms including Yorvik Partners, Lloyds Banking Group and SocGen. The company employs experienced bankers to service our institutional clients base.

We have over 150 open trading lines with major banks, insurance companies and brokers. We are most active across niche and OTC markets in Fixed Income, Unlisted Equity and Bankruptcy claims. We also serve clients in illiquid EM, High Yield and Equities.

We use BNY Mellon to settle trades on a Matched Principal basis. We also maintain a number of other clearing venues and custody relationships. We can also offer execution and sub-custody services to clients.

Registered as a company in the UK with Address	Suite 17, Ensign House, Admirals Way, London, England, E14 9XQ
Registered at Companies House on the:	28 January 2015
With Company Number	09411731
SIC Code	64999
Registered for Tax in the UK with TIN:	7847004129
Registered LEI	391200I7WXKQ8VP5OZ49
Accountants and Auditors	Edwards Chartered Accountants & Statutory Auditors 409-411 Croydon Rd, Beckenham BR3 3PP
Accounting Year End	31 December
Shareholder	100% Mr Robert Southey
Authorised Signatory	Mr. Robert Southey
Other key emails Compliance Trade Confirms	compliance@southeycapital.com trade_confirms@southeycapital.com
FATCA Status	registered as a participating FFI
GIIN	3P381G.99999.SL.826
Main Clearing Bank (Back to Back Euromarkets)	BNY Mellon
Main Clearing Bank (Book)	Julius Baer
Passported Countries	AUSTRIA, BELGIUM, BULGARIA, CROATIA, CYPRUS, CZECH REPUBLIC, DENMARK, ESTONIA, FINLAND, FRANCE, GERMANY, GIBRALTAR, GREECE, HUNGARY, ICELAND, IRELAND, ITALY, LATVIA, LIECHTENSTEIN, LITHUANIA, LUXEMBOURG, MALTA, NETHERLANDS, NORWAY, POLAND, PORTUGAL, ROMANIA, SLOVAKIA, SLOVENIA, SPAIN, SWEDEN
KYC	Online availability Details available on request