

Introduction and Key Details

To whom it may concern,

Southey Capital Ltd is a London, UK based Financial Services firm that is Authorised and Regulated by the Financial Conduct Authority.

The Company was founded in 2015 by Robert Southey, who built his career at firms including Yorvik Partners, Lloyds Banking Group, SocGen and BNP. The company employs experienced bankers to service our institutional clients base.

We have over 150 open trading lines with major banks, insurance companies and brokers. We are most active across niche and OTC markets in Fixed Income, Illiquid Securities and Bankruptcy claims. Our clients also deal in EM, High Yield and Equities.

Bonds are Settled by ING BANK on a Matched Principal basis. Southey Capital also maintains a number of other clearing venues and custody relationships.

We can also offer sub-custody services to clients.

Registered as a company in the UK with Address	Suite 17, Ensign House, Admirals Way, London, England, E14 9XQ
Registered at Companies House on the:	28 January 2015
With Company Number	09411731
SIC Code	64999
Registered for Tax in the UK with TIN:	7847004129
Registered LEI	39120017WXXK08VP5OZ49
Accountants and Auditors	Edwards Chartered Accountants & Statutory Auditors 409-411 Croydon Rd, Beckenham BR3 3PP
Accounting Year End	31 December
Shareholder	100% Mr Robert Southey
Authorised Signatory and Trader	Mr. Robert Southey rs@southeycapital.com
Compliance	compliance@southeycapital.com
FATCA Status	registered as a participating FFI
GIIN	3P381G.99999.SL.826
Main Clearing Bank	ING Bank (other venues also available)
Settlements	CBL 19484
Passported Countries	AUSTRIA, BELGIUM, BULGARIA, CROATIA, CYPRUS, CZECH REPUBLIC, DENMARK, ESTONIA, FINLAND, FRANCE, GERMANY, GIBRALTAR, GREECE, HUNGARY, ICELAND, IRELAND, ITALY, LATVIA, LIECHTENSTEIN, LITHUANIA, LUXEMBOURG, MALTA, NETHERLANDS, NORWAY, POLAND, PORTUGAL, ROMANIA, SLOVAKIA, SLOVENIA, SPAIN, SWEDEN
KYC	Online availability Details available on request